SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Aquila Tony				2. Issuer Name and Ticker or Trading Symbol Canoo Inc. [GOEV]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner				
(Last) C/O CANOO INC	(First)	(Middle)	3. Date 11/21		ction (Month/Day/Year)							specify		
19951 MARINER AVE			4. If An	4. If Amendment, Date of Original Filed (Month/Day/Year)					6. Indivi	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street) TORRANCE	СА	90503								Form filed by More	than One Reporti	ng Person		
(City)	(State)	(Zip)												
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned														
			Derivative	Securities Act	quireu,	ופוט	posed of, of i	Jenene		lieu				
1. Title of Security (Ir	nstr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date,	3. Transact Code (Ins 8)	ion	4. Securities Acqu Of (D) (Instr. 3, 4 a	uired (A) c		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
1. Title of Security (Ir	ıstr. 3)		2. Transaction Date	2A. Deemed Execution Date, if any	3. Transact Code (Ins 8)	ion	4. Securities Acq	uired (A) c		5. Amount of Securities Beneficially Owned	Form: Direct (D) or Indirect (I)	Indirect Beneficial		
1. Title of Security (Ir Common Stock	nstr. 3)		2. Transaction Date	2A. Deemed Execution Date, if any	3. Transact Code (Ins 8)	ion str.	4. Securities Acqu Of (D) (Instr. 3, 4 a	uired (A) c and 5) (A) or	or Disposed	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership		
	nstr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any	3. Transact Code (Ins 8) Code	ion str.	4. Securities Acq Of (D) (Instr. 3, 4 a Amount	uired (A) o and 5) (A) or (D)	Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial Ownership		

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	,		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

1. On November 9, 2022, the Issuer entered into a Common Stock Subscription Agreement (the "Subscription Agreement") with Mr. Tony Aquila and AFV Partners SPV-10 LLC, a Delaware limited liability company and a special purpose vehicle managed by entities affiliated with the Reporting Person ("AFV 10"), pursuant to which such purchasers agreed to purchase an aggregate of 9,009,009 shares of the Issuer's Common Stock, par value \$0.0001 per share at a price of \$1.11 per share for an aggregate purchase price of \$10.0 million (the "November PIPE"). The closing of the November PIPE occurred on November 21, 2022.

2. Pursuant to the Subscription Agreement, the Reporting Person acquired 4,505,504 shares of Common Stock in the November PIPE and AFV-10 acquired 4,505,505 shares of Common Stock in the November PIPE. The shares of Common Stock acquired by AFV-10 in the November PIPE are held indirectly by the Reporting Person through AFV-10.

3. (i) 12,509,387 shares of Common Stock are held by AFV Partners SPV-4 LLC, a Delaware limited liability company ("AFV-4"); (ii) 35,273,268 shares of Common Stock are held by AFV Partners SPV-7 LLC, a Delaware limited liability company ("AFV-7"); (iii) 3,450,000 shares of Common Stock held by AFV Partners SPV-7 A LLC, a Delaware limited liability company ("AFV-7"); (iii) 3,450,000 shares of Common Stock held by AFV Partners SPV-7 A LLC, a Delaware limited liability company ("AFV-7"); (iii) 3,450,000 shares of Common Stock held by AFV Partners SPV-7 A LLC, a Delaware limited liability company ("AFV-7"); (iii) 3,450,000 shares of Common Stock held by AFV Partners SPV-7 A LLC, a Delaware limited liability company ("AFV-7"); and (iv) 4,504,505 shares of Common Stock are held by AFV Partners SPV-7 A LLC, a Delaware limited liability company ("AFV-7"); and (iv) 4,504,505 shares of Common Stock are held by AFV Partners SPV-7 A LLC, a Delaware limited liability company ("AFV-7"); and (iv) 4,504,505 shares of Common Stock are held by AFV Partners SPV-7 A LLC, a Delaware limited liability company ("AFV-7"); and (iv) 4,504,505 shares of Common Stock are held by AFV Partners SPV-7 A LLC, a Delaware limited liability company ("AFV-7"); and (iv) 4,504,505 shares of Common Stock are held by AFV Partners SPV-7 (A LLC, a Delaware limited liability company ("AFV-7"); and (iv) 4,504,505 shares of Common Stock are held by AFV Partners SPV-7 (A LLC, a Delaware limited liability company ("AFV-7"); and (iv) 4,504,505 shares of Common Stock are held by AFV Partners SPV-7 (A LLC, a Delaware limited liability company ("AFV-7"); and (iv) 4,504,505 shares of Common Stock are held by AFV Partners SPV-7 (A LLC, a Delaware limited liability company ("AFV-7"); and AFV-7. AFV-7, AF which exercises ultimate voting and investment power with respect to the shares held by AFV-4, AFV-7, AFV-7/A and AFV-10. The Reporting Person disclaims beneficial ownership of the shares held by AFV 4, AFV 7, AFV-7/A and AFV-10. 7/A and AFV-10, except to the extent of his pecuniary interest therein.

> Tony Aquila, by /s/ Hector Ruiz, 11/23/2022 Attorney-in-Fact Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.